

Kaori Fujimoto-Ouchi · Fumiko Sekiguchi
Yutaka Tanaka

Antitumor activity of combinations of anti-HER-2 antibody trastuzumab and oral fluoropyrimidines capecitabine/5'-dFUr in human breast cancer models

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Abstract The anti-HER-2 antibody, trastuzumab (Herceptin), and the oral fluoropyrimidine, capecitabine (Xeloda), are both effective in breast cancer with different modes of action and toxicity profiles. Therefore, the efficacy in combination therapy of these agents for the treatment of HER-2-overexpressing breast cancer was of interest. An antagonistic interaction in vitro between trastuzumab and 5-fluorouracil (5-FUra) in combination has previously been reported. In the same study, the in vivo antitumor activity of this combination was investigated. However, the results were inconclusive since 5-FUra at the dose used had sufficient antitumor efficacy as a single agent and therefore it was not possible to clarify the potential difference between 5-FUra alone and the combination. In the present study, we investigated the efficacy of trastuzumab in combination with capecitabine or its intermediate metabolite 5'-dFUr in HER-2-overexpressing human breast cancer cell lines and xenograft models. In vivo antitumor activity of the combination was at least additive, in terms of tumor growth inhibition and tumor growth delay, in human breast cancer models KPL-4 and BT-474. The combination treatment in vivo was superior to the treatment with either single agent alone, even though in vitro treatment with trastuzumab and 5'-dFUr/5-FUra showed antagonistic antiproliferative activity in these cell lines. The reason for the discrepancy between the in vivo and in vitro results was not clarified. However, observed additive in vivo antitumor activity clearly indicates that the clinical efficacy of the combination of trastuzumab and capecitabine/5'-dFUr against HER-2-overexpressing breast cancer is worth investigating.

Keywords Trastuzumab · Capecitabine · 5'-dFUr · Breast cancer xenograft · Combination therapy

Abbreviations 5'-dFUr: 5'-deoxy-5-fluorouridine
5-FUra: 5-fluorouracil · CI: combination index
dThdPase: thymidine phosphorylase · MTD: maximum tolerated dose

Introduction

Trastuzumab (Herceptin) targets the oncogenic factor HER-2/neu, which is encoded by the c-erbB2 gene and is amplified in approximately 25% of breast cancer patients [1, 2, 3]. Trastuzumab is approved in the US, EU, Japan and other countries as a first-line therapy for use in combination with paclitaxel and as a second- and third-line monotherapy for breast cancer types that overexpress the HER-2/neu growth factor receptor [4, 5].

Capecitabine (*N*⁴-pentyloxycarbonyl-5'-deoxy-5-fluorocytidine, Xeloda) is a fluoropyrimidine carbamate that is approved in many countries worldwide and is used clinically in an oral form for the treatment of breast cancer patients who have failed paclitaxel- and anthracycline-containing regimens [6]. It has also recently been approved in the US in combination with docetaxel for advanced and metastatic breast cancer after failure of prior anthracycline-containing chemotherapy [7] and as first-line treatment of colorectal cancer in the US, EU and many other countries worldwide [8, 9]. In humans, it is sequentially converted first to 5'-deoxy-5-fluorocytidine by carboxylesterase located in the liver, then to 5'-deoxy-5-fluorouridine (5'-dFUr, doxifluridine) by cytidine deaminase, also with high activity in the liver and in various solid tumors, and finally to 5-fluorouracil (5-FUra) by thymidine phosphorylase (dThdPase) with high activity in many types of tumors [10]. An oral form of intermediate metabolite 5'-dFUr (doxifluridine, Furtulon) is prescribed in Japan, Korea and China for

K. Fujimoto-Ouchi (✉) · F. Sekiguchi · Y. Tanaka
Oncology, Nippon Roche Research Center,
200 Kajiwara, Kamakura,
Kanagawa 247-8530, Japan
E-mail: kaori.ouchi@roche.com
Tel.: +81-467-472220
Fax: +81-467-456782

the treatment of breast, colorectal, gastric, bladder, and cervical cancers [11].

The efficacy of trastuzumab and capecitabine/5'-dFUr in combination for the treatment of breast cancer is worthy of investigation since both of these agents are effective in breast cancer with different modes of action and different toxicity profiles. Pegram et al. have reported that trastuzumab and 5-FUra in combination is antagonistic in *in vitro* antiproliferation assays of the human breast cancer cell line SK-BR-3 [12]. However, it was not possible to determine the *in vivo* antitumor activity of this combination since the 5-FU dose used had sufficient antitumor efficacy as a single agent and therefore the potential difference between the effects of 5-FU alone and of the combination could not be established [12]. In the present study, we investigated the efficacy of trastuzumab and the fluoropyrimidine prodrugs, capecitabine and 5'-dFUr, in combination in HER-2-positive human mammary tumor xenograft models.

Materials and methods

Chemicals

Trastuzumab was synthesized at Genentech (South San Francisco, Calif.) and provided as an injectable formulation. Capecitabine was synthesized by a method described elsewhere [13]. 5'-dFUr was synthesized at Hoffmann-La Roche (Basle, Switzerland). An injectable formulation of 5-FUra was purchased from Kyowa Hakko Company (Tokyo, Japan).

Animals

Female BALB/c nu/nu mice at 5 weeks of age were obtained from Charles River Japan (Yokohama, Japan). They were kept for 1 week in our animal facility prior to tumor inoculation. All animal experiments were conducted in accordance with the Guidelines for the Care and Use of Laboratory Animals in Nippon Roche Research Center.

Tumors

The human inflammatory breast cancer cell line, KPL-4 [14, 15], was kindly provided by Dr. J. Kurebayashi (Kawasaki Medical School, Kurashiki, Japan). KPL-4, which naturally overexpresses HER-2 and is sensitive to trastuzumab [14] and is estrogen receptor-negative [15], was maintained in Dulbecco's modified Eagle's medium (DMEM) supplemented with 10% fetal bovine serum (FBS). The human breast cancer cell lines BT-474 and MDA-MB-231 were obtained from the American Type Culture Collection (Rockville, Md.). BT-474, which is known to naturally overexpress HER-2, is sensitive to trastuzumab and requires estrogen supplementation for *in vivo* growth [16, 17, 18, 19], was maintained in RPMI1640 supplemented with 10% FBS, 2 mM L-glutamine, 1.5 g/l sodium bicarbonate, 4.5 g/l glucose, 10 mM HEPES, and 1 mM sodium pyruvate. MDA-MB-231 was maintained in L-15 supplemented with 0.7 g/l sodium bicarbonate and 10% FBS.

Cellular proliferation assay

Aliquots of KPL-4 (3×10^3 cells/well) and BT-474 (10^4 cells/well) cells were plated into 96-well microtiter plates. Following cell adhesion (24 h), experimental medium containing trastuzumab or

control medium was added to the appropriate wells. 5'-dFUr and 5-FUra-containing medium or control medium was then added to duplicate wells and serial twofold dilutions were performed to give doses in a range suitable for the dose-effect analysis. Following incubation for 72 h, the numbers of viable cells were estimated using a WST-1 kit (Roche Diagnostics, Indianapolis, Ind.). Multiple drug effects were analyzed by calculating the combination indexes (CI) from parameters of the median effect plots [12, 20]. CI values <1.0 are defined as synergistic, values equal to 1.0 as additive, and values >1.0 as antagonistic in this model.

Human cancer xenograft models

A suspension of KPL-4 cells (0.8×10^7 cells/mouse) was orthotopically transplanted into the second mammary fat pad of the mice. BT-474 cells were transplanted at 1×10^7 cells/mouse after mixing with an equal volume of Matrigel (BD Biosciences, Bedford, Mass.) into the second mammary fat pad of mice which had received implants of slow-release estrogen pellets (0.25 mg 17 β -estradiol; Innovative Research of America, Toledo, Ohio) the day before tumor inoculation. Several weeks after tumor inoculation, mice bearing a tumor of approximately 100–200 mm³ in volume were selected and randomized into control and treatment groups, and the treatment was started. The day treatment started the numbers of mice per group were specified for each experiment. Tumor volumes (calculated as $ab^2/2$, where *a* is the longer diameter, and *b* the shorter diameter) were monitored two or three times a week. Capecitabine, 5'-dFUr and their vehicle (40 mM citrate buffer containing 5% gum arabic, pH 6.0) were administered orally 5 days per week for 3 weeks. 5-FUra was dissolved in saline and administered intraperitoneally (i.p.) 5 days per week for 3 weeks. Control IgG (ICN/Cappel, Aurora, Ohio) was dissolved at 22 mg/ml in saline containing 90 μ g/ml Tween 20 and 2 mg/ml of α - α -trehalose dihydrate which were the major components of the vehicle of the injectable trastuzumab formulation. IgG and trastuzumab were then diluted with saline to an appropriate concentration and administered by i.p. injection twice per week for 3 weeks. The initial dose of trastuzumab (loading dose) was twice the subsequent doses (maintenance dose).

HER-2 levels in tumor tissues and tumor cells

Tumor tissues were homogenized in phosphate-buffered saline (pH 7.4) with a glass homogenizer. Cultured tumor cells were collected and sonicated in the same buffer. The homogenate was then centrifuged at 10,000 *g* for 20 min at 4°C, and the supernatants were stored at –80°C until used. The protein concentration of the supernatant was determined using a DC protein assay kit (BioRad Laboratories, Hercules, Calif.). Levels of HER-2 expression in the tumor tissues and cultured cells were determined using a commercially available enzyme immunoassay (EIA) system (ErbB-2 EIA Nichirei; Nichirei, Tokyo, Japan). This system was originally developed for detecting circulating HER-2 fragments in blood. However, the same system has recently been found to be suitable for the determination of tumor levels of HER-2, since the EIA results correlate well with HER-2 overexpression as assessed by gene amplification and IHC [21, 22].

Results

HER-2 expression in KPL-4 and BT-474 cells *in vitro* and *in vivo*

The tumor cell lines used in the present study overexpressed HER-2. The levels were measured by an EIA. Both KPL-4 and BT-474 cell lines showed significantly higher levels of HER-2 expression *in vitro* and *in vivo*

(Table 1) than the control cell line MDA-MB-231 which is known to have a non-amplified HER-2 gene [16].

In vitro multiple drug effect analysis of trastuzumab in combination with 5'-dFUr or 5-FUra against KPL-4 and BT-474 cells

Capecitabine is a prodrug that has to be metabolized initially by the liver enzyme carboxylesterase in vivo [10]. Therefore, for in vitro experiments, its metabolites 5'-dFUr and 5-FUra were used instead of capecitabine itself. Tumor cells were treated with various concentrations of trastuzumab and 5'-dFUr or 5-FUra either as single agents or in combination. Interactions between the agents were analyzed by calculating the CI as reported previously [12, 20]. As shown in Table 2, mean CI values at the IC₅₀ in KPL-4 cells for the combinations of trastuzumab with 5'-dFUr and 5-FUra were 2.4 and 2.5, respectively, indicating antagonistic interaction between trastuzumab and these fluoropyrimidines. Antagonistic interactions were also observed over the range of IC₃₀ to IC₇₀. In BT-474 cells, the CI for the combination of trastuzumab and 5'-dFUr was close to 1, indicating an additive interaction, while the CI for the combina-

tion of trastuzumab and 5-FUra was 2.3, indicating an antagonistic interaction.

Antitumor activity of trastuzumab in combination with capecitabine/5'-dFUr in in vivo models of KPL-4 and BT-474 human breast cancer

The antitumor activities of the combination of trastuzumab and capecitabine, 5'-dFUr or 5-FUra were determined in the KPL-4 in vivo model. Doses of these agents were determined from a preliminary dose-finding experiment. In order to detect an interaction between the two agents distinctly, we chose suboptimal doses of each drug. Trastuzumab given twice a week at 20 mg/kg loading and 10 mg/kg maintenance doses showed similar antitumor activity to 40 mg/kg loading and 20 mg/kg maintenance doses, while 10 mg/kg loading and 5 mg/kg maintenance doses showed less activity. Thus 20 mg/kg loading and 10 mg/kg maintenance doses were the maximum effective regimen in this model. In the present combination therapy experiments the doses of trastuzumab used were three-fourths of the maximum effective doses, that is 15 mg/kg loading and 7.5 mg/kg maintenance. The MTD for capecitabine administered five times a week for 3 weeks in this model was determined as 189 mg/kg in a separate experiment. The MTDs for 5'-dFUr and 5-FUra were calculated from capecitabine's MTD and the ratio of the MTDs for these fluoropyrimidines reported previously [23]. Doses of capecitabine (126 mg/kg), 5'-dFUr (43 mg/kg) and 5-FUra (4.6 mg/kg) used in the present experiments corresponded to two-thirds of the MTD for each drug.

As shown in Fig. 1 and Table 3, trastuzumab, 5'-dFUr and 5-FUra as single agents showed only marginal antitumor activity in this model at the doses

Table 1 Levels of HER-2 expression in cells and tumor tissues measured as described in Materials and methods

Tumor line	HER-2 level (ng/mg protein)	
	In vitro	In vivo
KPL-4	173 ± 17	130 ± 19
BT474	689 ± 66	152 ± 19
MDA-MB-231	< 5	< 5

Fig. 1 Antitumor activity of three combinations of trastuzumab with fluoropyrimidines in KPL-4 human breast cancer model. Treatment was started 15 days after tumor inoculation. Mice were randomized into groups of 12 mice each. Capecitabine (126 mg/kg, orally), 5'-dFUr (43 mg/kg, orally), and 5-FUra (4.6 mg/kg, i.p.) were administered five times a week for 3 weeks. Trastuzumab (15 mg/kg loading dose, 7.5 mg/kg maintenance dose) and the corresponding doses of IgG were administered i.p. twice a week for 3 weeks. The data points are mean ± SD tumor volumes (*crosses* control IgG and vehicle, *open circles* trastuzumab and vehicle, *open squares* control IgG and fluoropyrimidines, *filled squares* combination of trastuzumab and fluoropyrimidine). The significance of the differences between the groups is indicated in Table 3

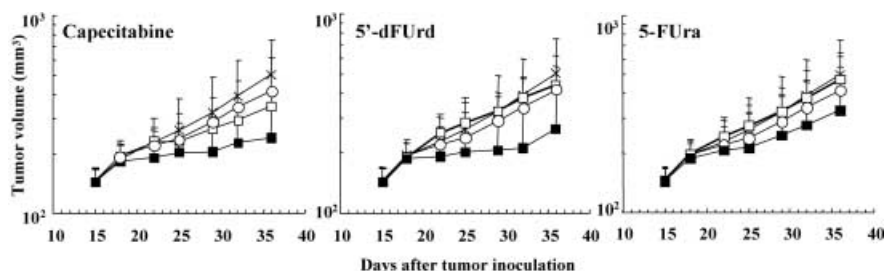


Table 2 Combination index (CI) values for trastuzumab in combination with 5'-dFUr or 5-FUra in KPL-4 and BT-474 in vitro. The CI values are means ± SD at the IC₅₀ derived from three plates. The dose ranges tested were as follows: in KPL-4, trastuzumab 20–10,000 µg/ml, 5'-dFUr 3.1–1570 µg/ml, 5-FUra 0.25–130 µg/ml; in BT-474, trastuzumab 0.01–6.4 µg/ml, 5'-dFUr 3.1–1570 µg/ml, 5-FUra 0.25–130 µg/ml

Cell line	Drug	CI (mean ± SD)	Effect
KPL-4	5'-dFUr	2.4 ± 0.7	Antagonistic
	5-FUra	2.5 ± 1.1	Antagonistic
BT-474	5'-dFUr	1.1 ± 0.2	Additive
	5-FUra	2.3 ± 1.1	Antagonistic

Table 3 Effect of combinations of trastuzumab and fluorinated pyrimidines in the KPL-4 tumor model. The antitumor activities of the combinations of trastuzumab and three fluoropyrimidines shown in Fig. 1 are summarized. Percent tumor growth inhibition

Group	Dose (mg/kg)	Tumor volume (mm ³ , mean \pm SD)	Tumor growth inhibition (%)	Days to TV ₄₀₀		Body weight change (g, mean \pm SD)
				Median	Range	
Control IgG		501 \pm 112	–	33	29–47	–0.5 \pm 0.8
Trastuzumab	15/7.5	415 \pm 336	17	45	22–120	–0.3 \pm 1.2
Capecitabine alone	126	345 \pm 79*	31	47*	34–66	–0.2 \pm 0.9
Capecitabine + trastuzumab		241 \pm 152*	52	55*	34–120	0.1 \pm 1.0
5'-dFUr alone	43	441 \pm 102	12	32	27–51	–0.4 \pm 0.7
5'-dFUr + trastuzumab		265 \pm 165*,***	47	51*,***	30–120	0.0 \pm 1.0
5-FUra alone	4.6	474 \pm 174	5	35	25–54	–0.9 \pm 0.8
5-FUra + trastuzumab		331 \pm 213**	34	40**	27–120	0.4 \pm 1.3

* $P < 0.01$ vs control, ** $P < 0.05$ vs control, *** $P < 0.01$ vs fluoropyrimidine alone

and with the treatment schedule used. Capecitabine monotherapy showed moderate but significant antitumor activity with 31% inhibition of tumor growth. In contrast, all three combinations of trastuzumab with a fluoropyrimidine produced statistically significant inhibition of tumor growth compared with the control group. The antitumor activities of the combinations were superior to the activities of the single agents.

A similar tendency was also observed in the measurement of tumor growth delay induced by the treatment. The median time in days taken for the tumor to grow to 400 mm³ (days to TV₄₀₀) was determined in every group (Table 3). The number of days in the groups treated with trastuzumab and capecitabine or 5'-dFUr in combination was significantly greater than in the control group and also greater than in the groups treated with the single agents. There was no significant change in body weight in any group, indicating that the combination treatments did not enhance toxicity. Thus, the combination of trastuzumab and capecitabine or 5'-dFUr showed additive antitumor activity in the in vivo KPL-4 model, even though the combination of trastuzumab and 5'-dFUr against this tumor line in vitro showed an antagonistic interaction in the antiproliferation assays.

The activity of the combination of trastuzumab and capecitabine was also investigated in the BT-474 model. Since this tumor model is rather resistant to capecitabine treatment, capecitabine was given at its MTD of 503 mg/kg five times a week for 3 weeks. However, trastuzumab was given at a loading dose of 1.2 mg/kg and a maintenance dose of 0.6 mg/kg, which corresponds to only 1/25th of the maximum effective dose, because the model is highly susceptible to this drug [18]. Figure 2 shows the results of the combination treatment. Capecitabine alone did not show clear antitumor activity even at the MTD, while a low dose of trastuzumab as a single agent showed significant tumor growth inhibitory activity. In the group treated with the combination of these agents, very potent antitumor activity and even a reduction in tumor volume was observed. The volume of the tumors in the group treated with the combination was significantly less than that of the control group and also that of the groups

was evaluated on the day after the 3-week treatment period (day 36). The time taken for the tumors to grow to 400 mm³ (days to TV₄₀₀) was determined. Body weight change from the day treatment started to the day after it ended was also determined

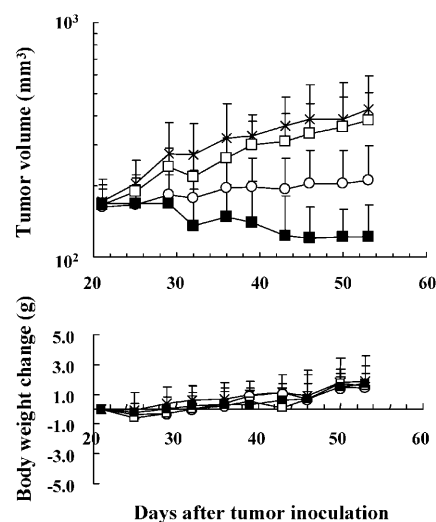


Fig. 2 Antitumor activity of the combination of trastuzumab and capecitabine in BT474 human breast cancer model. Treatment was started 21 days after tumor inoculation. Mice were randomized into groups of eight mice each. Capecitabine (503 mg/kg, orally) was administered five times a week for 3 weeks. Trastuzumab (1.2 mg/kg loading dose and 0.6 mg/kg maintenance dose) and the corresponding doses of IgG were administered i.p. twice a week for 3 weeks. The data points are mean \pm SD tumor volumes (crosses control IgG and vehicle, open circles trastuzumab and vehicle, open squares control IgG and capecitabine, filled squares combination of trastuzumab and capecitabine). On the last day of measurement, the tumor volume of the combination group was significantly less than that of the control, capecitabine alone and trastuzumab alone groups ($P < 0.05$), and the tumor volume of the trastuzumab alone group was also significantly less than that of the control group ($P < 0.05$).

treated with either agent alone. Thus, the combination of trastuzumab and capecitabine also showed at least additive antitumor activity in the BT-474 model.

Discussion

In a previous study, Pegram et al. have demonstrated that the effect of the combination of 5-FUra and trastuzumab is antagonistic in the SK-BR-3 human breast

cancer model [12]. Although the mechanism of the antagonistic interaction between trastuzumab and 5-FUra in vitro has not yet been fully clarified, trastuzumab is able to reduce the fraction of SK-BR-3 cells in S phase and this might be responsible for the reduced sensitivity to 5-FUra of cells treated with trastuzumab [12]. In an in vitro antiproliferation assay that trastuzumab and 5-FUra showed an antagonistic interaction in KPL-4 and BT-474 human breast cancer cells, which is consistent with the reported results of the study with the SK-BR-3 human breast cancer cells. The combination of trastuzumab and 5'-dFUrd showed an antagonistic interaction in vitro in KPL-4 cells, whereas in BT-474 cells it showed an additive interaction. Since 5'-dFUrd exhibits antiproliferative activity after conversion into the active metabolite 5-FUra, it is likely that 5'-dFUrd and 5-FUra interact antagonistically with trastuzumab by the same mechanism. The reason for the discrepancies between 5'-dFUrd and 5-FUra found in combination in the BT-474 model has not been elucidated. Low levels of dThdPase expression, which makes the cells resistant to 5'-dFUrd (IC₅₀ for 5'-dFUrd was 64-fold higher on a molar basis than that for 5-FUra), might make clarification of the antagonistic interaction difficult.

On the other hand, in in vivo experiments, the combination of trastuzumab and capecitabine/5'-dFUrd showed at least additive antitumor activity in the two models, KPL-4 and BT-474. The combination treatment was superior to treatment with either single agent alone in terms of tumor growth inhibition and tumor growth delay. Therefore, the antagonistic interaction found in vitro was simply not observed in vivo. It is likely that the mechanism of the antitumor activity of trastuzumab in vivo is different from that in vitro in the tumor models studied. Indeed, the antibody-dependent cell-mediated cytotoxicity (ADCC) reaction has recently been shown to be important in the in vivo activity of trastuzumab using the Fc receptor γ knockout mouse model [24]. ADCC is not associated with in vitro antiproliferative activity, and the in vivo activity of trastuzumab may not be antagonistic to the activity of 5-FUra in vivo.

In separate experiments, we also investigated the possibility that trastuzumab enhances the efficacy of capecitabine in vivo by upregulating the activity of the key metabolic enzyme dThdPase, as do taxanes [25], CPA [26] and X-rays [27], or by downregulating the activity of the other key metabolic enzyme dihydropyrimidine dehydrogenase. However, administration of trastuzumab did not affect the tumor levels of these enzymes in the two models (data not shown). Therefore, it seems rather unlikely that the interaction of two agents is mediated by modulation of enzymes involving fluoropyrimidine metabolism.

A rather high dose of trastuzumab was required to produce antitumor activity in the KPL-4 model, although the level of HER-2 expression in the tumors was comparable to that in BT-474 tumors. In KPL-4 tumor-bearing mice, a high level of circulating HER-2

(1.23 $\mu\text{g/ml}$) was detected by EIA while in BT-474 tumor-bearing mice the level was 0.03 $\mu\text{g/ml}$. This might be one reason why KPL-4 tumors were rather refractory to trastuzumab treatment compared with BT-474 tumors.

Trastuzumab has been investigated in combination with various chemotherapeutic agents including paclitaxel and CDDP in preclinical and clinical studies [18, 28]. In addition to these agents, the observed additive in vivo antitumor activity in the present study prompted us to examine the clinical efficacy of the combination of trastuzumab and capecitabine/5'-dFUrd against HER-2-overexpressing breast cancer. Indeed, Bangemann et al. have recently reported a 50% objective tumor response with capecitabine/trastuzumab combination therapy in HER-2-overexpressing metastatic breast cancer [29]. To fully understand the reason for the discrepancy between the in vivo and in vitro effects of the combination, further studies are needed. The in vivo antitumor mechanism of trastuzumab may be different from that in vitro, particularly in combination with fluoropyrimidines, and a clinical assessment of these drugs in HER-2-overexpressing breast cancer is warranted.

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